

## Monte Carlo Modeling of Terahertz Quantum Cascade Detectors

Johannes Popp<sup>\*(1)</sup>, Michael Haider<sup>(1)</sup>, Martin Franckić<sup>(2)</sup>, Jérôme Faist<sup>(2)</sup> and Christian Jirauschek<sup>(1)</sup>

(1) Department of Electrical and Computer Engineering, Technical University of Munich, Arcisstr. 21, 80333 Munich, Germany

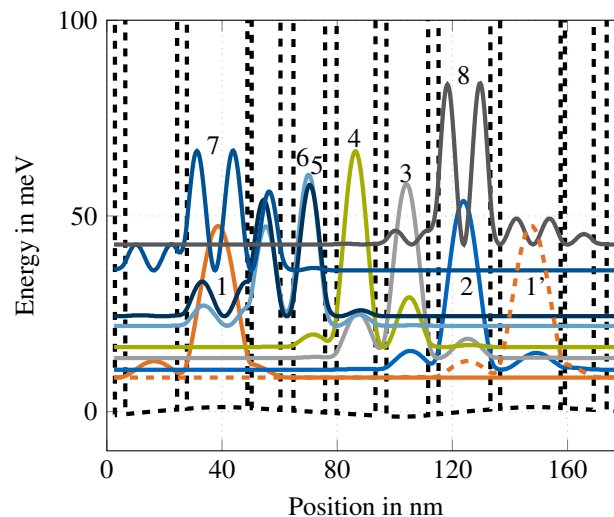
(2) Department of Physics, ETH Zurich, Auguste-Piccard-Hof 1, 8093 Zurich, Switzerland

### Abstract

We demonstrate an Ensemble Monte Carlo (EMC) modeling approach for robust and rigorous simulations of photovoltaic quantum cascade detectors (QCDs) in the mid-infrared (mid-IR) and terahertz (THz) range. The existing EMC simulation tool for quantum cascade lasers (QCLs) was extended to simulate the photovoltaic transport effects in QCDs at thermal equilibrium under zero bias. Here, we present the results of the EMC study of a THz detector design with a detection wavelength of 84  $\mu\text{m}$ . The simulation results show good agreement with experimental data. For a temperature of 10 K we obtain a peak responsivity of 9.4 mA/W.

### 1 Introduction

The detection of light in the mid-IR and THz regime can be accomplished based on intersubband transitions (ISB) in quantum well (QW) structures, where a suitable optical transition between quantized levels in the conduction band of a semiconductor heterostructure is utilized. ISB photodetectors are divided into two groups depending on their operating regime, quantum well infrared photodetectors (QWIPs) and quantum cascade detectors (QCDs). The first realized and most common ISB detector design is the QWIP [1]. Electrons are excited by photon absorption from the ground level to the upper level in the active well and contribute to the photocurrent under an external bias. Common QWIP designs are based on bound-to-miniband [2], bound-to-bound [1] and bound-to-quasi-bound transitions [3]. Alternatively, photovoltaic ISB photodetectors can be utilized, which are commonly based on the design of quantum cascade lasers (QCLs) and are called quantum cascade detectors (QCDs) [4, 5]. The asymmetric conduction band potential makes quantum cascade structures feasible for the usage as a photodetector without applying an external electric field. The major advantage of QCDs in comparison to QWIPs is the absence of dark current noise. For QCDs, the dominating noise contribution is thus given by Johnson noise. Furthermore QCDs offer increased design freedom in choice of material system and compatibility to QCL fabrication technology. Different designs with vertical [6] and diagonal [7] absorbing transitions have been realized. Recently published detectors are based on a coupled quantum



**Figure 1.** Calculated conduction band profile and probability densities of the investigated THz QCD structure [10] at 10 K. Space charge effects are included by solving self-consistently the Schrödinger and Poisson equation. The electron densities in each state are modeled accounting for thermal equilibrium under zero external bias and no incident light.

well design [8] and can be used as bi-functional quantum cascade laser and detector (QCLD) devices enabling the on-chip integration for gas sensing [9].

The first THz QCD design was published by Graf *et al.* for a detection wavelength of 84  $\mu\text{m}$  [10]. The bandstructure and calculated wavefunctions are presented in Fig. 1. The QCL structure consists of multiple periods of a doped active QW and an adjacent extraction cascade of QWs with varying thicknesses. The QWs are based on an AlGaAs/GaAs material system. Photo-excitation occurs between the ground level 1 and the upper levels 5, 6 followed by the extraction through the staircase of subbands (levels 4, 3 and 2) to the ground state 1' of the adjacent period. A second possible path of relaxation is the back-hopping to ground level 1 which results in zero net contribution to the photocurrent.

In QWIPs the electron transport between quantized electron states in confined wells and 3D electronic states in

the continuum is difficult to model. In contrast, the well-established simulation models for QCLs (e.g., EMC [11]) can be adapted to QCDs by some minor extensions [12, 13]. Detectors in photovoltaic mode operate close to thermal equilibrium where the detailed balance principle holds, which is usually not strictly fulfilled in naïve numerical implementations [14]. We find that this issue necessitates an adaptation of the EMC simulation, as described in the next section. We present the implementation of necessary extensions of our EMC modeling tool for the carrier transport simulations of QCDs and discuss the obtained results for the given THz QCL structure.

## 2 QCD modeling

For the characterization of photodetector performance a key figure of merit is the responsivity  $R$ , which is defined by the generated detector photocurrent  $I_{\text{out}}$  per incident optical power  $P_{\text{in}}$ . The frequency dependent responsivity can be expressed as [13]

$$R(\nu) = \frac{I_{\text{out}}}{P_{\text{in}}} = \frac{e}{\hbar\omega} \frac{p_e}{N_p} T_f [1 - \exp(-\alpha N_p L_p \sin \theta)], \quad (1)$$

where  $T_f$  is the facet reflectivity,  $N_p$  the number of periods in the active region,  $L_p$  the length of one period,  $e$  the elementary charge,  $\omega = 2\pi c/\lambda$  the angular frequency and  $\hbar$  the reduced Planck constant. The propagation angle  $\theta$  of the light relative to the growth direction is  $45^\circ$  for the modeled mesa device. The extraction efficiency  $p_e$  and the absorption coefficient  $\alpha$  can be calculated using the EMC simulation results [11, 15].

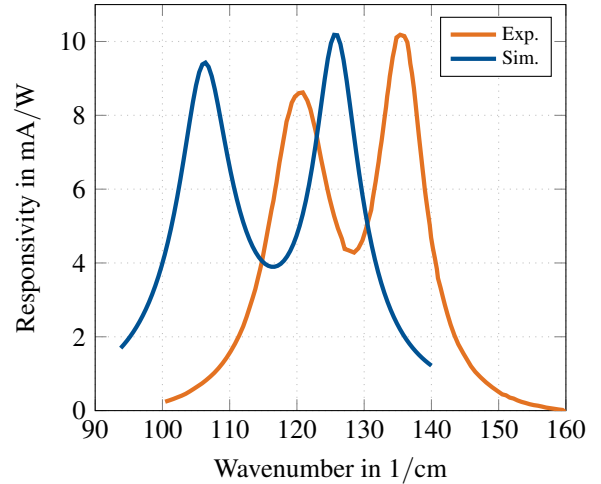
The extraction efficiency  $p_e$  represents the ratio of photoexcited electrons scattering to the ground level of the next period to absolute number of photoexcited electrons. In our approach,  $p_e$  is calculated based on the scattering rates extracted from the EMC simulations. Here we assume periodic boundaries and use the scattering rates between states in two adjacent periods to calculate the extraction efficiency. We have developed a robust method where  $p_e$  is directly extracted from the absorption-induced net current, obtained by solving perturbed rate equations in analogy to [16].

The simulation of photovoltaic QCDs differ from that of QCLs. Here we assume a thermal distribution of charges under zero bias and without illumination. The electron sheet density in a subband  $i$  at temperature  $T$  is then calculated using the 2D density of states  $n_i^{2D} = m_i/(\pi\hbar^2)$ :

$$n_{s,i} = \frac{m_i}{\pi\hbar^2} k_B T \ln\{1 + \exp[(\mu - E_i)/(k_B T)]\}. \quad (2)$$

In Eq. 2,  $E_i$  is the quantized subband energy,  $m_i$  is the effective mass containing non-parabolicity effects and  $\mu$  the chemical potential. Furthermore, the principle of detailed balance holds for the scattering rates between each pair of subbands  $i$  and  $j$  [17, 18],

$$p_i r_{i,j} = p_j r_{j,i}, \quad (3)$$



**Figure 2.** Simulated THz QCD responsivity (blue line) and measured detector response (orange line) given by Graf *et al.* [10] at the temperature of 10 K. The responsivity simulations are carried out in the linear regime. Results are based on the simulated absorption efficiency of a  $45^\circ$  mesa device used for the experimental measurement.

with the subband occupation probabilities  $p_i$  and  $p_j$ . For the determination of scattering rates we use the EMC simulation model [11], where the scattering is self-consistently evaluated based on Fermi's golden rule. We account for optical and acoustic phonons, interface roughness, impurity and alloy disorder scattering mechanisms. The wavefunctions and eigenenergies necessary for calculating the scattering form factors are identified by a Schrödinger-Poisson solver [19].

## 3 Results

The simulated results for the responsivity together with the experimental results from [10] are shown in Fig. 2. The two peaks correspond to the transitions from the ground level 1 to the upper levels 5 and 6, respectively. Here, the calculated energy values for the diagonal transitions from the ground level 1 up to the levels 5 and 6 are 13.2 meV and 15.7 meV, which match the values in [10]. Experimental results show a peak responsivity of  $R_p = 8.6$  mA/W at a wavelength of  $\lambda = 84$   $\mu\text{m}$  corresponding to the first peak in Fig. 1, which agrees well with the simulated value of  $R_p = 9.4$  mA/W. Furthermore, a relatively small red shift of the simulation results in comparison to the experimental data can be seen. This might be explained by the highly sensitive processing technology and can give small variations in layer thicknesses and thus variations in the position and energy of the quantized states of the heterostructure. The determined extraction efficiency  $p_e = 0.52$  is in good agreement with the estimated escape probability of  $p_e \approx 0.5$  [10].

## 4 Conclusion

In summary, a sensitive and precise simulation tool for THz QCD performance characterization and optimization is introduced. We applied our modeling approach to an existing THz design and obtained good agreement with the published experimental results. Further extensions of our model towards the characterization of noise dependent quantities such as the detectivity giving an measure for the signal-to-noise ratio are in progress.

## 5 Acknowledgments

The authors acknowledge financial support by the European Union's Horizon 2020 research and innovation programme under grant agreement No 820419 – Qombs Project "Quantum simulation and entanglement engineering in quantum cascade laser frequency combs" (FET Flagship on Quantum Technologies), and by the German Research Foundation (DFG) within the Heisenberg program (JI 115/4-2).

## References

- [1] B. F. Levine, K. K. Choi, C. G. Bethea, J. Walker, and R. J. Malik, "New  $10\ \mu\text{m}$  infrared detector using intersubband absorption in resonant tunneling GaAlAs superlattices," *Appl. Phys. Lett.*, vol. 50, no. 16, pp. 1092–1094, 1987.
- [2] K. Bandara, J.-W. Choe, M. Francombe, A. Perera, and Y. Lin, "GaAs/AlGaAs superlattice miniband detector with  $14.5\ \mu\text{m}$  peak response," *Appl. Phys. Lett.*, vol. 60, no. 24, pp. 3022–3024, 1992.
- [3] H. Liu, "Dependence of absorption spectrum and responsivity on the upper state position in quantum well intersubband photodetectors," *J. Appl. Phys.*, vol. 73, no. 6, pp. 3062–3067, 1993.
- [4] D. Hofstetter, M. Beck, and J. Faist, "Quantum-cascade-laser structures as photodetectors," *Appl. Phys. Lett.*, vol. 81, no. 15, pp. 2683–2685, 2002.
- [5] L. Gendron, M. Carras, A. Huynh, V. Ortiz, C. Koeniguer, and V. Berger, "Quantum cascade photodetector," *Appl. Phys. Lett.*, vol. 85, no. 14, pp. 2824–2826, 2004.
- [6] F. R. Giorgetta, E. Baumann, M. Graf, Q. Yang, C. Manz, K. Kohler, H. E. Beere, D. A. Ritchie, E. Linfield, A. G. Davies, Y. Fedoryshyn, H. Jackel, M. Fischer, J. Faist, and D. Hofstetter, "Quantum cascade detectors," *IEEE J. Quantum Electron.*, vol. 45, no. 8, pp. 1039–1052, Aug 2009.
- [7] P. Reininger, B. Schwarz, H. Detz, D. MacFarland, T. Zederbauer, A. M. Andrews, W. Schrenk, O. Baumgartner, H. Kosina, and G. Strasser, "Diagonal-transition quantum cascade detector," *Appl. Phys. Lett.*, vol. 105, no. 9, p. 091108, 2014.
- [8] T. Dougakiuchi, K. Fujita, T. Hirohata, A. Ito, M. Hitaka, and T. Edamura, "High photoresponse in room temperature quantum cascade detector based on coupled quantum well design," *Appl. Phys. Lett.*, vol. 109, no. 26, p. 261107, 2016.
- [9] B. Schwarz, P. Reininger, H. Detz, T. Zederbauer, A. Maxwell Andrews, S. Kalchmair, W. Schrenk, O. Baumgartner, H. Kosina, and G. Strasser, "A bi-functional quantum cascade device for same-frequency lasing and detection," *Appl. Phys. Lett.*, vol. 101, no. 19, p. 191109, 2012.
- [10] M. Graf, G. Scalari, D. Hofstetter, J. Faist, H. Beere, E. Linfield, D. Ritchie, and G. Davies, "Terahertz range quantum well infrared photodetector," *Appl. Phys. Lett.*, vol. 84, no. 4, pp. 475–477, 2004.
- [11] C. Jirauschek and T. Kubis, "Modeling techniques for quantum cascade lasers," *Appl. Phys. Rev.*, vol. 1, no. 1, p. 011307, 2014.
- [12] O. Baumgartner, Z. Stanojevic, K. Schnass, M. Karner, and H. Kosina, "VSP—A quantum-electronic simulation framework," *J. Comput. Electron.*, vol. 12, no. 4, pp. 701–721, 2013.
- [13] A. Harrer, B. Schwarz, S. Schuler, P. Reininger, A. Wirthmüller, H. Detz, D. MacFarland, T. Zederbauer, A. M. Andrews, M. Rothermund, H. Oppermann, W. Schrenk, and G. Strasser, " $4.3\ \mu\text{m}$  quantum cascade detector in pixel configuration," *Opt. Express*, vol. 24, no. 15, pp. 17 041–17 049, Jul 2016.
- [14] W. R. Frensley, "Boundary conditions for open quantum systems driven far from equilibrium," *Rev. Mod. Phys.*, vol. 62, no. 3, p. 745, 1990.
- [15] C. Jirauschek, "Density matrix monte carlo modeling of quantum cascade lasers," *J. Appl. Phys.*, vol. 122, no. 13, p. 133105, 2017.
- [16] —, "Universal quasi-level parameter for the characterization of laser operation," *IEEE Photonics J.*, vol. 10, no. 4, pp. 1–9, 2018.
- [17] C. Koeniguer, G. Dubois, A. Gomez, and V. Berger, "Electronic transport in quantum cascade structures at equilibrium," *Phys. Rev. B*, vol. 74, p. 235325, 2006.
- [18] R. C. Iotti and F. Rossi, "Microscopic theory of semiconductor-based optoelectronic devices," *Rep. Prog. Phys.*, vol. 68, no. 11, p. 2533, 2005.
- [19] C. Jirauschek, "Accuracy of transfer matrix approaches for solving the effective mass schrödinger equation," *IEEE J. Quantum Electron.*, vol. 45, no. 9, pp. 1059–1067, 2009.