

# Synthesis of Phasers for Real-Time Analog Signal Processing

(*Invited Paper*)

***Qingfeng Zhang<sup>\*1</sup>, and Christophe Caloz<sup>2</sup>***

<sup>1</sup>Department of Electronics and Electrical Engineering, South University of Science and Technology of China, Shenzhen, Guangdong Province, P.R. China, 518055.  
Email: zhang.qf@sustc.edu.cn

<sup>2</sup>Department of Electrical Engineering, École Polytechnique de Montréal, Montréal, Québec, Canada, H3T1J4.  
Email: christophe.caloz@polymtl.ca

## Abstract

Different synthesis techniques associated with different types of phasers are reviewed. Both material- and network-based synthesis are discussed. In the network synthesis, all phasers have closed-form synthesis techniques, except the coupled C-section phasers which require optimization techniques, all the others have.

## 1. Introduction

Radio analog signal processing (R-ASP), inspired by ultra-fast optics and surface acoustics wave signal processing [1], [2], has attracted increasing attention recently due to the exploding demand of high-efficiency and high-speed communication. Conventional digital signal processor (DSP) suffer from high power consumption due to signal sampling, and narrow bandwidth due to A/D and D/A limitations. The main R-ASP applications pertain to instrumentation [3]-[10], radar [11]-[13], sensors [14]-[16] and communications [17]-[21]. Overviews of R-ASP are provided in [22]-[24].

The core of a R-ASP system is a phaser [24], which is a component exhibiting an arbitrary group delay response versus frequency. An pulse passed through a phaser with linear group delay gets its spectral information mapped onto time, which is phenomenon often used for real-time Fourier transformation [6]-[9]. Two frequency-modulated pulses, passing through a phaser with step-case group delay [15], separates themselves in the output, which is often used as spectrum sniffer [16]. Therefore, the group delay response of the phaser should be arbitrarily controlled according to different applications.

Phasers can be implemented in several technologies. These technologies may be mainly divided into two categories: reflection-type and transmission-type. Reflection-type phasers usually exhibit simpler configuration and are therefore easier to synthesize. However, they are one-port devices, and therefore require a circulator or a hybrid coupler to become two-port devices [25]-[27]. Transmision-type phasers are inherently two-port devices, but their synthesis is more complicated [28]-[34]. A comparison of transmission-type and reflection-type phasers in terms of system resolution was reported in [35]. This paper overviews currently existing synthesis techniques for these types of phasers .

## 2. Phaser Synthesis

Phasers may be realized using two distinct aproaches: material (sub-wavelength and periodic cells) and network (distributed and non-periodic, typically half-wavelength cells). A typical example for the material case is the CRLH phaser [11], [13], [19]. In this case, the group delay is controlled by adjusting the equivlent inductance and capacitance of the artificial transmission line sections. However, the resulting charactersitics are only limited to hyperbolic group delay functions, due to the dispersion limitation of CRLH transmission lines. An extensive review of CRLH metamaterial based phasers may be found in [22]. Network-based phasers feature more degrees of freedom and best achieve quasi arbitrary group delay responses. They can be divided into reflection-type and transmission type phasers, and further divided into bandpass-type and allpass-type phasers. Different synthesis techniques are required for different phasers, as will be discussed next.

Reflection-type bandpass phasers may be realized by Bragg-grating type structures [25], [26]. Although they are two-port devices, one port is terminated by a matched load and only the other port is used. The group delay response of this type of phaser is typically controlled by chirping the spatial pattern of a periodically loaded quasi-TEM transmission line [25]. Approximate design formulas are usually employed in the synthesis. In these phasers, periodic structures are used to produce a stopband in the transmission direction or passband in the reflection direction. Therefore, the overall length tends to be rather large. Moreover, the group delay and magnitude for these phasers are usually accompanied by strong parasitic ripples.

Reflection-type allpass phasers are one-port devices realized by cascading direct-coupled resonators [27],[36]. They are synthesized either directly in the bandpass domain [36] or indirectly in the lowpass domain [27]. Direct bandpass-domain synthesis requires optimization whereas indirect lowpass-domain synthesis have closed-form formulas. In the latter case, the original group delay synthesis problem is transformed into a Hurwitz polynomial generation problem, which is quite easy to solve using the recurrence formula provided in [37].

Transmission-type bandpass phasers are realized by cross-coupled filter configurations and synthesized using the closed-form formulas provided in [28]. The difficulty is to separately control the magnitude and group delay (or phase) in a specified frequency band. The synthesis method in [28] employs a para-conjugate polynomial in the numerator of the transfer function (which is unnecessary in general [38]) so as to determine the magnitude of the transfer function whereas the phase is exclusively controlled by the denominator.

Transmission-type allpass phasers are realized by cascading C- and D-sections [31]-[34], [39]. If these sections are loosely coupled, the total group delay response can be synthesized by closed-form formulas [33],[34]. Although the synthesis is quite simple and fast, the resulting device may be insufficiently compact. This issue is addressed by tightly coupling the sections in which case a global optimization, e.g. genetic algorithm [31] or space mapping technique [39], is required. The genetic algorithm used in [31] is very time consuming whereas the space mapping technique used in [39] is quite efficient.

### 3. Conclusion

This paper has reviewed the main synthesis techniques for phasers. Both material- and network-based synthesis were introduced.

### 4. References

1. M. Lewis, "SAW and optical signal processing," *IEEE Proc. Ultrason. Symp.*, **24**, Sep. 2005, pp. 800–809.
2. C. Campbell, *Surface acoustic wave devices and their signal processing applications*. New York: Academic, 1989.
3. M. A. Muriel, J. Azana, and A. Carballar, "Real-time Fourier transformer based on fiber gratings," *Opt. Lett.*, **24** (1), pp. 1–3, Jan. 1999.
4. J. Azana and M. A. Muriel, "Real-time optical spectrum analysis based on the timespace duality in chirped fiber gratings," *IEEE J. QuantumElectron.*, **36** (5), pp. 517–526, May 2000.
5. N. K. Berger, B. Levit, S. Atkins, and B. Fischer, "Time-lens-based spectral analysis of optical pulses by electrooptic phase modulation," *Electron. Lett.*, **36** (19), pp. 1644–1646, Sep. 2000.
6. M. A. G. Laso, T. Lopetegi, M. J. Erro, D. Benito, M. J. Garde, M. A. Muriel, M. Sorolla, and M. Guglielmi, "Real-time spectrum analysis in microstrip technology," *IEEE Trans. Microw. Theory Tech.*, **51** (3), pp. 705–717, Mar. 2003.
7. J. D. Schwartz, J. Azana, and D. Plant, "Experimental demonstration of real-time spectrum analysis using dispersive microstrip," *IEEE Microw. Wireless Compon. Lett.*, **16** (4), pp. 215–217, Apr. 2006.

8. S. Gupta, S. Abielmona, and C. Caloz, "Microwave analog real-time spectrum analyzer (RTSA) based on the spectral-spatial decomposition property of leaky-wave structures," *IEEE Trans. Microw. Theory Tech.*, **57** (12), pp. 2989–2999, Dec. 2009.
9. S. Gupta and C. Caloz, "Analog real-time Fourier transformer using a group delay engineered C-section all-pass network," *IEEE Proc. Antennas Propag.*, Jul. 2010, pp. 1–4.
10. S. Gupta and C. Caloz, "Analog inverse Fourier transformer using group delay engineered C-section all-pass network," *Proc. European Microw. Conf.*, Sep. 2010, pp. 389–392.
11. S. Abielmona, S. Gupta, and C. Caloz, "Experimental demonstration and characterization of a tunable CRLH delay line system for impulse/continuous wave," *IEEE Microw. Wireless Compon. Lett.*, **17** (12), pp. 864–866, Dec. 2007.
12. J. D. Schwartz, I. Arnedo, M. A. G. Laso, T. Lopetegi, J. Azana, and D. Plant, "An electronic UWB continuously tunable time-delay system with nanosecond delays," *IEEE Microw. Wireless Compon. Lett.*, **18** (2), pp. 103–105, Jan. 2008.
13. S. Abielmona, S. Gupta, and C. Caloz, "Compressive receiver using a CRLH-based dispersive delay line for analog signal processing," *IEEE Trans. Microw. Theory Tech.*, **57** (11), pp. 2617–2618, Nov. 2009.
14. S. Gupta, B. Nikfal, and C. Caloz, "Chipless RFID system based on group delay engineered dispersive delay structures," *IEEE Antennas Wirel. Propag. Lett.*, **10**, pp. 1366–1368, Dec. 2011.
15. B. Nikfal, D. Badiere, M. Repeta, B. Deforge, S. Gupta, and C. Caloz, "Distortion-less real-time spectrum sniffing based on a stepped groupdelay phaser," *IEEE Microw. Wireless Compon. Lett.*, **22** (11), pp. 601–603, Oct. 2012.
16. Q. Zhang, B. Nikfal, and C. Caloz, "High-resolution real-time spectrum sniffer for wireless communication," *Proc. Intern. Symp. Electromagn. Theory*, May 2013, pp. 64–66.
17. Y. Dai and J. Yao, "Chirped microwave pulse generation using a photonic microwave delay-line filter with a quadratic phase response," *IEEE Photon. Technol. Lett.*, **21** (9), pp. 569–571, May 2009.
18. J. D. Schwartz, J. Azana, and D. V. Plant, "A fully electronic system for the time magnification of ultra-wideband signals," *IEEE Trans. Microw. Theory Tech.*, **55** (2), pp. 327–334, Feb. 2007.
19. H. V. Nguyen and C. Caloz, "Composite right/left-handed delay line pulse position modulation transmitter," *IEEE Microw. Wireless Compon. Lett.*, **18** (5), pp. 527–529, Aug. 2008.
20. B. Xiang, A. Kopa, F. Zhongtao, and A. B. Apsel, "Theoretical analysis and practical considerations for the integrated time-stretching system using dispersive delay line (DDL)," *IEEE Trans. Microw. Theory Tech.*, **60** (11), pp. 3449–3457, Nov. 2012.
21. B. Nikfal, Q. Zhang, and C. Caloz, "Comments on 'theoretical analysis and practical considerations for the integrated time-stretching system using dispersive delay line (DDL)'," *IEEE Trans. Microw. Theory Tech.*, **61** (5), pp. 1973–1973, 2013.
22. C. Caloz, "Metamaterial dispersion engineering concepts and applications," *Proc. IEEE*, **99** (10), pp. 1711–1719, Oct. 2011.
23. C. Caloz, S. Gupta, B. Nikfal, and Q. Zhang, "Analog signal processing (ASP) for high-speed microwave and millimeter-wave systems," *Asia-Pacific Microwave Conference Proceedings*, Dec. 2012, pp. 691–692.
24. C. Caloz, S. Gupta, Q. Zhang, and B. Nikfal, "Analog signal processing: A possible alternative or complement to dominantly digital radio schemes," *IEEE Microw. Mag.*, **14** (6), pp. 87–103, Sep. 2013.
25. M. A. G. Laso, T. Lopetegi, M. J. Erro, D. Benito, M. J. Garde, M. A. Muriel, M. Sorolla, and M. Guglielmi, "Chirped delay lines in microstrip technology," *IEEE Microw. Wireless Compon. Lett.*, **11** (12), pp. 486–488, Dec. 2001.

26. M. Coulombe and C. Caloz, "Reflection-type artificial dielectric substrate microstrip dispersive delay line (DDL) for analog signal processing," *IEEE Trans. Microw. Theory Tech.*, **57** (7), pp. 1714–1723, Jul. 2009.
27. Q. Zhang, S. Gupta, and C. Caloz, "Synthesis of narrowband reflection-type phasers with arbitrary prescribed group delay," *IEEE Trans. Microw. Theory Tech.*, **60** (8), pp. 2394–2402, 2012.
28. Q. Zhang, D. Sounas, and C. Caloz, "Synthesis of cross-coupled reduced-order dispersive delay structures (DDSSs) with arbitrary group delay and controlled magnitude," *IEEE Trans. Microw. Theory Tech.*, **61** (3), pp. 1043–1052, Mar. 2013.
29. E. G. Cristal, "Theory and design of transmission line all-pass equalizers," *IEEE Trans. Microw. Theory Tech.*, **17** (1), pp. 28–38, Jan. 1969.
30. W. Steenaart, "The synthesis of coupled transmission line all-pass networks in cascades of 1 to n," *IEEE Trans. Microw. Theory Tech.*, **11** (1), pp. 23–29, Jan. 1963.
31. S. Gupta, A. Parsa, E. Perret, R. V. Snyder, R. J. Wenzel, and C. Caloz, "Group delay engineered non-commensurate transmission line all-pass network for analog signal processing," *IEEE Trans. Microw. Theory Tech.*, **58** (8), pp. 2392–2407, Aug. 2010.
32. S. Gupta, D. L. Sounas, H. V. Nguyen, Q. Zhang, and C. Caloz, "CRLH-CRLH C-section dispersive delay structures with enhanced group delay swing for higher analog signal processing resolution," *IEEE Trans. Microw. Theory Tech.*, **60** (21), pp. 3939–3949, Dec. 2012.
33. S. Gupta, D. L. Sounas, Q. Zhang, and C. Caloz, "All-pass dispersion synthesis using microwave C-sections," *Int. J. Circ. Theory Appl.*, online, May 2013.
34. Q. Zhang, S. Gupta, and C. Caloz, "Synthesis of broadband phasers formed by commensurate C- and D-sections," *Int. J. RF Microw. Comput. Aided Eng.*, online, Aug. 2013.
35. Q. Zhang and C. Caloz, "Comparison of transmission and reflection allpass phasers for analog signal processing," *Electron. Lett.*, **49** (14), Jul. 2013.
36. H.-T. Hsu, H.-W. Yao, K.A. Zaki, A.E. Atia, "Synthesis of coupled-resonators group-delay equalizers," *IEEE Trans. Microw. Theory Tech.*, **50** (8), pp. 1960–1968, Aug. 2002.
37. T. Henk, "The generation of arbitrary-phase polynomials by recurrence formulae." *Int. J. Circ. Theory Appl.*, **9** (4), pp. 461–478, Oct. 1981.
38. Q. Zhang, C. Caloz, "Alternative construction of the coupling matrix of filters with non-paraconjugate transmission zeros," *IEEE Microw. Wireless Compon. Lett.*, **23** (10), pp. 509–511, Oct. 2013.
39. Q. Zhang, J. W. Bandler, and C. Caloz, "Design of dispersive delay structures (DDSSs) formed by coupled C-sections using predistortion with space mapping," *IEEE Trans. Microw. Theory Tech.*, **61** (12), pp. 4040–4051, Dec. 2013.