

# Laboratory Study of Velocity Shear-driven Electromagnetic Ion Cyclotron Waves

E.M. Tejero<sup>1</sup>, W.E. Amatucci,<sup>2</sup> E. Thomas, Jr.<sup>1</sup>

<sup>1</sup>Physics Department, Auburn University, Auburn, AL 36849

<sup>2</sup>Plasma Physics Division, Naval Research Laboratory, Washington, DC 20375

## Abstract

Laboratory observations of electromagnetic ion cyclotron waves generated by a localized transverse dc electric field are reported. Experiments indicate that these waves result from a strong  $\mathbf{E} \times \mathbf{B}$  flow inhomogeneity in a mildly collisional plasma with sub-critical magnetic field-aligned current. The wave amplitude scales with the magnitude of the applied radial dc electric field. The electromagnetic signatures become stronger with increasing plasma  $\beta$ , and the radial extent of the power is larger than that of the electrostatic counterpart. Near-Earth space weather implications of the result are discussed.

## 1. Introduction

Numerous space observations have revealed that inhomogeneities, such as small scale, transverse electric field structures [0,2] and sheared flows [3,4] are important in space plasmas [5-7]. For example, processes driven by localized sheared flows can provide a significant impact to the local space weather by influencing the energization of the plasma and driving bulk transport. Alfvén waves propagating into the ionosphere from the magnetosphere can form quasistatic electric fields transverse to the magnetic field by wave steepening processes [8,9]. The secondary electrostatic instabilities produced by these electric fields are thought to dissipate their power in the ionosphere and produce localized ion heating.

We report laboratory observations of spontaneously generated electromagnetic ion cyclotron (EMIC) waves associated with highly sheared plasma flows transverse to the background magnetic field. Theory [10,11] and previous laboratory experiments [12-15] have shown that sufficiently strong sheared flows can drive electrostatic ion cyclotron waves by generating strong inhomogeneity in the energy density. The electrostatic waves have been shown to be broadband, Doppler-shifted near the ion cyclotron frequency, primarily propagating transverse to the magnetic field, and spatially localized to the velocity shear layer. Transverse ion heating of factors of 2-4 have been observed as a result of these waves [16,17]. Such transverse ion heating is an important first step in the formation of ion conics and the bulk transport of ions [18], which can impact near-Earth space weather. EMIC waves are potentially even more important to these processes since they can propagate far from the wave generation region, heating ions along their path.

Electromagnetic instabilities due to such localized transverse electric field structures have also been predicted by [19], but they have not previously been experimentally investigated. Such locally generated EMIC waves could affect broader ionospheric and magnetospheric dynamics by heating remote ions and convecting energy far from the region of wave creation. Our objective was to design a laboratory experiment to study the generation and character of electromagnetic fluctuations due to inhomogeneity in transverse plasma flows.

## 2. Experimental Setup

The experiments were conducted in the source chamber section of the Space Physics Simulation Chamber (SPSC) at the Naval Research Laboratory. The source chamber is a 2-m long, 55-cm diameter cylindrical vacuum chamber with an inductively coupled RF plasma source, typically operated at 14.2 MHz and up to 600 W. The operational parameters for the steady-state argon plasma are plasma density  $n \sim 10^9 - 10^{11} \text{ cm}^{-3}$ , ion and electron temperatures  $T_i \sim 0.05 \text{ eV}$  and  $T_e \sim 3-5 \text{ eV}$ , uniform axial magnetic field  $B = 300 \text{ G}$ , ion cyclotron frequency  $f_{ci} = 11.5 \text{ kHz}$ , ion gyroradius  $\rho_i = 0.3 \text{ cm}$ , ion thermal speed  $v_n \sim 5 \times 10^4 \text{ cm/s}$ , and Debye length  $\lambda_D \sim 0.01 \text{ cm}$ . The ion-neutral collision frequency is  $\nu_{in} \sim 3.9 \times 10^3 \text{ s}^{-1}$  for a neutral density of  $n_n \sim 1 \times 10^{13} \text{ cm}^{-3}$ . The electron plasma frequency to

electron cyclotron frequency ratio for the SPSC scales favorably to the auroral ionosphere ( $\omega_{pe}/\Omega_{ce}$ )<sub>ionosphere</sub> $\sim$ 0.4-0.9 and ( $\omega_{pe}/\Omega_{ce}$ )<sub>SPSC</sub> $\sim$ 0.2-3.4. The effective plasma column diameter and length are 16 cm and 125 cm, respectively. Wave and bulk plasma parameters are measured using Langmuir probes, double probes, three-axis magnetic loops, and emissive probes. The magnetic loops are electrostatically shielded so that only the electromagnetic waves contribute to the inductive electric fields that they measure. Electrostatic fluctuations are detected as oscillations in the ion saturation current on Langmuir probe tips.

The double, magnetic, and emissive probes are attached to independent radial translation stages mounted to three orthogonal vacuum ports that are axially located on a plane 20 cm in front of the biasable electrodes used to generate the radial electric field. The electrodes consist of a 2-cm diameter center disk and an isolated 0.6-cm wide annulus with an outer diameter of 3.8 cm. The radial gap between the electrodes is 0.3 cm. For the set of experiments described in this Letter the annulus was biased while the center disk was not connected resulting in an inhomogeneous radial electric field that switches from radially inward to radially outward across the outer electrode. This arrangement produces strongly sheared azimuthal flows.

Plasma potential was measured using the floating potential of an emissive probe heated such that it is highly emissive. Electric field profiles were determined by numerically differentiating the plasma potential profiles and can be seen in Figure 1. The cylindrically symmetric electric field has a maximum value  $E_{peak}\sim$ 4 V/cm and a scale size  $L_E=[(dE/dr)/E]\sim$ 1-1.7 $\rho_i$ . The observed ratio of ion gyroradius to electric field scale length is close to the upper range of that observed in the space ( $\rho_i/L_E$ )<sub>ionosphere</sub> $\sim$ 0.01-0.5 [7] and ( $\rho_i/L_E$ )<sub>SPSC</sub> $\sim$ 0.6. The combination of this radial electric field and the background axial magnetic field causes a cylindrically symmetric azimuthal plasma flow due to the  $\mathbf{E}\times\mathbf{B}$  drift. The inhomogeneity in the radial electric field results in radial shear in the azimuthal velocity profile, which extends along the length of the device and is the source of the free energy to drive the observed instabilities. The normalized shear frequency  $\omega_s/\Omega_{ci}=(dv_E/dr)/\Omega_{ci}$  characterizes the shear in the flow, where  $v_E$  is the  $\mathbf{E}\times\mathbf{B}$  drift. In the ionosphere, estimated values for the normalized shear frequency up to 6 have been observed [18] and values greater than 10 can be created in the laboratory.

### 3. Analysis

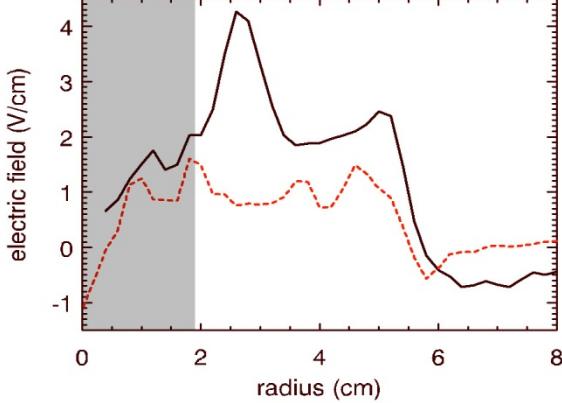
Under plasma conditions with low  $\beta=nkT/(B^2/(2\mu_0))$  and sufficiently strong, localized electric fields, velocity shear-driven electrostatic ion cyclotron waves are observed to have characteristics consistent with previous experimental results [18]. By increasing the plasma  $\beta$  while maintaining sufficiently strong sheared flows, electromagnetic waves near the ion cyclotron frequency have been observed using 3-axis magnetic loops. The electrostatic and electromagnetic oscillations have similar spectral signatures. The inset of Figure 2 shows a typical power spectrum for the observed electromagnetic mode with frequency below the ion cyclotron frequency  $f/f_{ci}\sim$ 0.65-0.85, broadband character  $\Delta f/f\sim$ 0.1-0.15, and the "spiky" spectral structure characteristic of the inhomogeneous energy-density driven instability (IEDDI) [12,14,20]. The amplitudes of the wave magnetic field components are roughly equal, with the total magnetic field fluctuation amplitude  $B_r\sim$ 0.25  $\mu$ T. The wave electric field was approximated using the measured electrostatic wave potential as  $E_I=k\Box_I\sim$ 2 V/m. This resulted in an  $E_I/B_I$  ratio of approximately ten times the Alfvén speed.

Figure 2 shows a comparison of radial profiles of normalized wave amplitude for the electrostatic (dashed line) and electromagnetic fluctuations (solid line). The outer electrode was biased to 150 V relative to chamber ground, while the center disk was disconnected. Both profiles indicate a spatial localization to regions of strong velocity shear, where the inhomogeneous electric field was present. However, the electromagnetic profile shows significant wave power outside the shear layer.

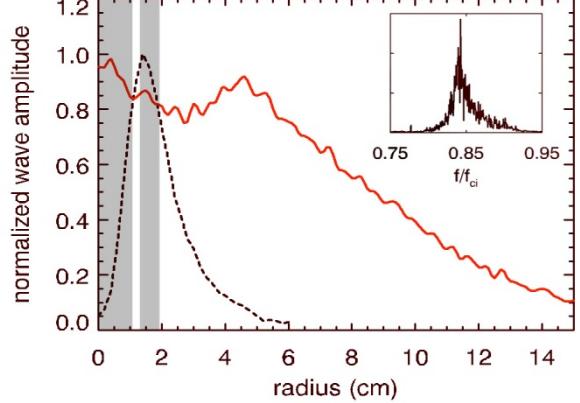
A series of scans were performed, changing the plasma  $\beta$  by scanning RF power and the magnetic field. The current oscillations remained constant for a wide range of  $\beta$  throughout these scans. A minimum  $\beta$  level for noticeable EMIC wave amplitude was found that remained constant within experimental error for a wide range of density and magnetic field strengths, resulting in a range of  $\beta$  from  $10^{-6}$  to  $5\times 10^{-5}$ . The electromagnetic wave amplitude increased by an order of magnitude above the noise floor over this range in  $\beta$ .

The wave vector components for the electromagnetic waves were determined using the Fourier transform of the cross-correlation function to measure the phase difference between the time series of the same magnetic field component of two spatially separated magnetic probes. The measurement of the radial wave number indicated

outward radial propagation with  $k_r=0.168\pm 0.008 \text{ cm}^{-1}$ . The axial wave number measurement, made at three unequally spaced axial points, at the center of the chamber indicated a propagation direction parallel to  $B$  with  $k_z = 0.05\pm 0.007 \text{ cm}^{-1}$ . Measurements of azimuthal mode number outside the shear layer are consistent with an  $m=1$  cylindrical mode, rotating in the direction of the azimuthal flow.

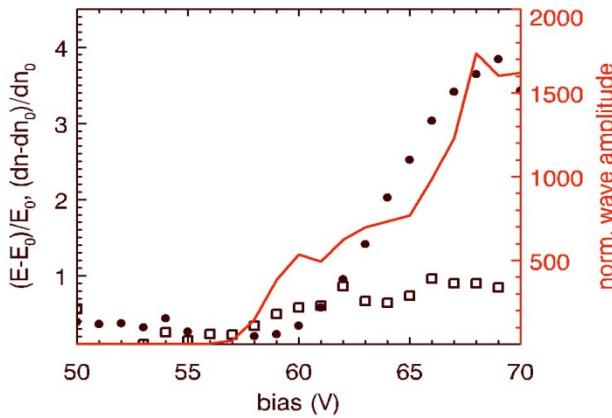


**Figure 1:** Typical radial electric field profiles: no bias (red) and only annulus biased to 150 V (black).



**Figure 2:** Normalized radial wave amplitude profiles: electrostatic (black) and electromagnetic (red) fluctuations.

The parallel electron drift velocity was estimated from measurements of the maximum field-aligned current collected by the electrodes. Assuming a uniform field-aligned current profile, the ion saturation current collected by the electrodes provides an estimate the electron drift velocity,  $I=enA v_d$ , where  $e$  is the electron charge,  $n$  is the plasma density,  $A$  is the electrode area, and  $v_d$  is the drift velocity of the electrons. The maximum electron drift velocity was found to be  $v_d \sim 16 \text{ km/s}$ . According to Satyanarayana et al. [21], we determined the critical drift velocity was more than 30 times larger than the estimated electron drift in the experiment.



**Figure 3:** Normalized wave amplitude (solid line), fraction electric field (filled circles), and fractional density gradient (open squares) as a function of applied bias.

Figure 3 shows the behavior of the normalized mode amplitude (solid line) as a function of the bias applied to the outer electrode, indicating a threshold for the wave. A comparison of the fractional change in the electric field (circles) and the density gradient (squares) as functions of bias demonstrates a clear correlation between the growth of the mode and the electric field. The reference values at threshold are  $E_0=60.5 \text{ V/m}$  and  $(d\ln(n)/dr)_0=19.5 \text{ m}^{-1}$ . The wave amplitude changes rapidly above threshold, the electric field changes by a factor of 4 while the density gradient remains fairly constant. This indicates that the electric field and hence the sheared flows are responsible for driving the observed waves, not the density gradients. Furthermore, the expected frequencies for drift waves are much higher than the observed frequencies.

## 4. Conclusion

Our experiment establishes that strongly localized dc electric fields perpendicular to the ambient magnetic field can behave as a radiation source for EMIC waves, which can transport the energy away from the region of wave generation. The results are consistent with the theory of Peñano and Ganguli [19]. The EMIC wave amplitude increases with increasing plasma  $\beta$  and the Doppler-shifted frequency is resonant with a harmonic of the ion-cyclotron frequency. Wave vector measurements indicate predominantly  $m=1$  azimuthal mode propagation, similar to simultaneously excited shear-driven electrostatic ion cyclotron waves, but with longer wavelength. The EMIC

waves exhibit the same frequency content as the electrostatic waves, and the wave peak amplitude is co-located with a peak in the velocity shear. Finally, in contrast to the electrostatic waves that were also measured, the EMIC waves are observed to extend far from the source region across the magnetic field.

Alfvén waves carry energy and momentum from the distant magnetosphere to the near-Earth region, where they can steepen to form localized electric fields. It is generally assumed that the Earth-directed energy and momentum flux is ultimately dissipated in the near-Earth region in the form of Joule heating, which affects the near-Earth plasma state and hence space weather. Our experiment suggests that not all the energy and momentum that reaches the ionosphere may be dissipated as local heating. A fraction of the energy may be radiated back into the magnetosphere. Satellites have observed anti-Earthward Poynting flux [22], indicating the existence of a radiation source beneath the satellite altitude.

## References

1. F. S. Mozer et al., “Observations of paired electrostatic shocks in the polar magnetosphere,” *Phys. Rev. Lett.*, **38**, 292 (1977).
2. F. S. Mozer, R. Ergun, M. Temerin, C. Cattell, J. Dombeck, and J. Wygant, “New features of time domain electric-field structures in the auroral acceleration region,” *Phys. Rev. Lett.*, **79**, 1281 (1997).
3. J. Providakes, D. Farley, W. Swartz, and D. Riggan, “Plasma irregularities associated with a morning discrete auroral arc: Radar interferometer observations and theory,” *J. Geophys. Res.*, **90**, 7513, (1985).
4. H. Liu and G. Lu, “Velocity shear-related ion upflow in the low altitude ionosphere,” *Ann. Geophysicae*, **22**, 1149, (2004).
5. M. C. Kelley and C. W. Carlson, “Observations of intense velocity shear and associated electrostatic waves near an auroral arc,” *J. Geophys. Res.*, **82**, 2343, (1977).
6. M. Temerin et al., “The small-scale structure of electrostatic shocks,” *J. Geophys. Res.*, **86**, 11278, (1981).
7. Hamrin et al., “Inhomogeneous transverse electric fields and wave generation in the auroral region: A statistical study,” *J. Geophys. Res.* **106**, 10803, (2001).
8. E. V. Mishin and M. Förster, “Alfvénic shocks and low-altitude auroral acceleration,” *Geophys. Res. Lett.*, **22**, 1745, (1995).
9. C. E. Seyler, A. E. Clark, J. Bonnell, and J.-E. Wahlund, “Electrostatic broadband elf wave emission by Alfvén wave breaking,” *J. Geophys. Res.* **103**, 7027, (1998).
10. G. Ganguli, Y. C. Lee, and P. J. Palmadesso, “Electrostatic ion-cyclotron instability caused by a nonuniform electric field perpendicular to the external magnetic field,” *Phys. Fluids*, **28**, 761 (1985).
11. G. Ganguli, Y. C. Lee, and P. J. Palmadesso, “Kinetic theory for electrostatic waves due to transverse velocity shears,” *Phys. Fluids*, **31**, 823, (1988).
12. M. E. Koepke, W. E. Amatucci, J. J. Carroll III, and T. E. Sheridan, “Experimental Verification of the Inhomogeneous Energy-Density Driven Instability,” *Phys. Rev. Lett.*, **72**, 3555 (1994).
13. W. E. Amatucci, M. E. Koepke, J. J. Carroll III, T. E. Sheridan, “Observation of ion-cyclotron turbulence at small values of magnetic-field-aligned current,” *Geophys. Res. Lett.*, **21**, 1595, (1994).
14. W. E. Amatucci et al., “Plasma Response to Strongly Sheared Flow,” *Phys. Rev. Lett.*, **77**, 1978, (1996).
15. E. Thomas, Jr., J. D. Jackson, E. A. Wallace, and G. Ganguli, “Observations of low frequency oscillations due to transverse sheared flows,” *Phys. Plasmas*, **10**, 1191, (2003).
16. D. N. Walker, W. E. Amatucci, G. Ganguli, J. A. Antoniades, J. H. Bowles, and D. Duncan, “Perpendicular ion heating by velocity-shear-driven waves,” *Geophys. Res. Lett.*, **24**, 1187, (1997).
17. W. E. Amatucci et al., “Velocity-shear-driven ion-cyclotron waves and associated transverse ion heating,” *J. Geophys. Res.*, **103**, 11711, (1998).
18. W. E. Amatucci, “Inhomogeneous plasma flows: A review of in situ observations and laboratory experiments,” *J. Geophys. Res.*, **104**, 14481, (1999).
19. J. R. Peñano and G. Ganguli, “Ionospheric source for low-frequency broadband electromagnetic signatures,” *Phys. Rev. Lett.*, **83**, 1343, (1999).
20. K.-I. Nishikawa, G. Ganguli, Y. C. Lee, and P. J. Palmadesso, “Simulation of ion-cyclotron-like modes in a magnetoplasma with transverse inhomogeneous electric field,” *Phys. Fluids*, **31**, 1568, (1988).
21. P. Satyanarayana, P. K. Chaturvedi, M. J. Keskinen, J. D. Huba, and S. L. Ossakow, “Inhomogeneous plasm flows: A review of in situ observations and laboratory experiments,” *J. Geophys. Res.*, **90**, 12209, (1985).
22. N. Ivchenko et al., “Quasiperiodic oscillations observed at the edge of an auroral arc by auroral turbulence 2,” *Geophys. Res. Lett.*, **26**, 3365, (1999).