



FUNDAMENTAL LIMITATIONS OF PML OMNIDIRECTIONAL ELECTROMAGNETIC ABSORBERS AS EM RADIATION SHIELDS: SUMMARY OF RECENT FINDINGS

Kamalesh Sainath^{*(1)} and Fernando L. Teixeira⁽²⁾

(1) Electromagnetics Department, Sandia National Laboratories, P.O. Box 5800, Albuquerque, NM 87185, USA

(2) ElectroScience Laboratory, The Ohio State University, Columbus, OH 43212, USA

Abstract

We first discuss fundamental limitations on the feasibility and performance of perfectly reflectionless, doubly-curved convex, and primitively causal absorbers of classical electromagnetic (EM) waves. The supporting analysis expands upon and solidifies the conclusions of past work giving evidence to the non-feasibility of such EM media. Second, given these fundamental limitations, we propose a technique to design polarization-independent, broadband doubly-anisotropic EM absorbers that, while exhibiting reflectivity (particularly strong forward scatter, i.e. “shadow” generation), exhibit very low backscatter and lateral scatter. The conclusions drawn from recent numerical studies motivate future explorations into the fabrication and deployment of said media in diverse applications, such as those concerning enhanced robustness of civilian automotive electronic systems to unintended external EM interference.

1. Introduction

Initially proposed for facilitating the efficient numerical simulation of classical EM wave propagation and scattering problems [15], the Perfectly Matched Layer (PML) Absorbing Boundary Condition (ABC) has since been generalized in a number of ways. Some diversifications, to name a few, are to 3-D problems, physics domains (e.g., thermodynamics), coordinate grids (e.g., cylindrical and spherical), PML boundary shapes (e.g., doubly-curved curvilinear), constitutive (*linear*) properties of the ambient medium into which the PML is embedded (frequency-dispersive, doubly bi-anisotropic, “double-negative”, etc.), and numerical techniques (frequency and time-domain methods, for finite elements, finite volume, finite difference, etc.) [5,6,9,12]. Note that planar boundaries are a special, limiting case of a doubly-curved surface: namely, let its two principal radii of curvature (RoC) tend towards becoming infinitely large.

In its “coordinate-stretching” interpretation (frequency-domain), the PML can be seen as a region of space in which the spatial metric is distorted and made complex-valued [11]. Calling the normal coordinate of the boundary surface as ζ_3 (the tangential, mutually orthogonal coordinates are ζ_1 and ζ_2 with metric factors h_1 and h_2 , respectively), the PML *continuously* transitions ζ_3 (from its boundary value ζ_0) to its complex-valued, “stretched” form ($\exp[-i\omega t]$ time convention assumed)

[11] $\bar{\zeta}_3(\zeta_3) = \zeta_0 + \int_{\zeta_0}^{\zeta_3} s_\zeta(\zeta) d\zeta$. The metric stretching factor $s_\zeta(\zeta_3) = a_\zeta(\zeta_3) + i\sigma_\zeta(\zeta_3)/\omega$ has real (a_ζ) and imaginary (σ_ζ) parts responsible for wave-front stretching and wave energy absorption, respectively [1,4]. Note that other forms of s_ζ , besides one based off the Drude dispersive model, have also been successfully utilized before too [16]. The tangential metric stretching factors are likewise “stretched” to \bar{h}_1 and \bar{h}_2 [11]. Alternatively, an equivalent formulation, casting the PML as a physical, doubly-anisotropic absorber in flat space, exists too [8]: $\bar{\epsilon}_r = \bar{\mu}_r = \text{Diag} \left[\frac{h_1 \bar{h}_2}{\bar{h}_1 h_2} s_\zeta, \frac{\bar{h}_1 h_2}{h_1 \bar{h}_2} s_\zeta, \frac{\bar{h}_1 \bar{h}_2}{h_1 h_2 s_\zeta} \right]$, where $\bar{\epsilon}_r$ and $\bar{\mu}_r$ are the electric permittivity and magnetic permeability tensors, respectively, and we assumed the PML is being matched to vacuum [9]; the more general form is shown elsewhere [5,11]. In the limit as $s_\zeta(\zeta_3) \rightarrow 1$, $\bar{\epsilon}_r = \bar{\mu}_r \rightarrow 1$ (free space) [11].

2. Discussion and Conclusion

A long-standing limitation of the PML, however, appears to be its restriction to *concave* (i.e., from the source’s viewpoint) doubly-curved surfaces distinguishing the boundary between the PML and its ambient medium [4]. This conclusion, concerning the PML’s limitation, is based on extensive previous studies that mathematically and numerically demonstrated (at least for the *pre-dominantly* used class of PML media, with non-negative a_ζ and σ_ζ) that *convex* PML/ambient medium boundaries lead to primitively non-causal PML media [4]. Alternatively, in a time-domain implementation where primitive causality (i.e., cause preceding effect) is explicitly enforced, the non-causal, absorptive PML instead behaves as a primitively causal but *gain* (i.e., active) PML that engenders late-time dynamical instability. Similar conclusions apply for two of the other three classes of PML media (non-negative a_ζ and non-positive σ_ζ being class two, and vice versa [class three]).

We recently found, however, that for the fourth meta-class of PML media (non-positive a_ζ and σ_ζ), a convex reflectionless medium arises that, at first glance (i.e., using earlier analytical tests), appears to exhibit primitive causality and hence absorptivity too [2,3,7,14]. Time-domain numerical simulations revealed, however, that such a medium in fact exhibits *early-time* numerical instability. Earlier, analytical explorations explain such

dynamic instability in the context of PMLs (of the first, pre-dominantly used variety) impedance-matched to “double-negative” media (i.e., negative electric permittivity and magnetic permeability), where the normal (to boundary surface) component of group and phase velocity are oppositely oriented [10,13].

Since usage of the first class of PMLs for double-negative media is (in terms of causal and absorptive/gain behavior, at least) is equivalent to using the fourth PML class for double-positive media (what we explore here), which we confirmed in recent studies [7,14], our observed early-time dynamic instability informed us that indeed none of the four PML classes were viable candidates for a convex-curvilinear, reflectionless, causal, and absorptive medium. This conclusion was reinforced, albeit with a purely theoretical subtlety, when we artificially “flipped” the signs of the components of the anisotropic constitutive material tensors [2,7]. Namely, this modified version of the fourth meta-class of PML media was, upon sign-flip, reflective and absorptive (confirmed in numerical results), however one could make it reflectionless by coating the absorber with a lossless material that has the effect of rendering the stretched radius, at the coating/absorber interface, equal to zero. However, such a coating is nothing more and nothing less than an “ideal” EM cloak, hence precluding external EM radiation from interacting with the absorber, a sufficient (although perhaps not necessary) condition for facilitating the existence of (provably, at least cylindrically and spherically-shaped) convex, doubly-curved reflectionless absorbers [2,7,17].

3. Acknowledgements

Research conducted under support of the NASA Space Technology Research Fellow program as well as the Ohio Supercomputer Center. Sandia is a multi-program laboratory operated by Sandia Corporation, a Lockheed Martin Company, for the United States Department of Energy under contract DE-AC04-94AL85000.

4. References

1. F. L. Teixeira, “On Aspects of the Physical Realizability of Perfectly Matched Absorbers for Electromagnetic Waves”, *Radio Science*, Vol. 38, No. 2, 2003, pp. 15-1 – 15-10, doi: 10.1029/2001RS002559.
2. K. Sainath, F. L. Teixeira, and D.-Y. Na, “Electromagnetic Horizons and Convex-Spherical Reflectionless Absorber Coatings”, Proc. *10th European Conf. on Antennas and Propag.*, Davos (Switzerland), 2016, pp. 1-5, doi: 10.1109/EuCAP.2016.7481550.
3. K. Sainath and F. L. Teixeira, “Geometrically-Conformal EM Horizons and PML Media”, Proc. *IEEE/ACES Int. Conf. Wireless Information Technology and Systems (ICWITS) and Applied Computational Electromagnetics (ACES)*, Honolulu (USA), 2016, pp. 1-2, doi: 10.1109/ROPACES.2016.7465350.
4. F. L. Teixeira and W. C. Chew, “On Causality and Dynamic Stability of Perfectly Matched Layers for FDTD Simulations”, *IEEE Trans. Microwave Theory and Techniques*, vol. 47, no. 6, 1999, pp. 775-785, doi: 10.1109/22.769350.
5. F. L. Teixeira and W. C. Chew, “General Closed-Form PML Constitutive Tensors to Match Arbitrary Bianisotropic and Dispersive Linear Media”, *IEEE Microwave and Guided Wave Letters*, vol. 8, no. 6, 1998, pp. 223-225, doi: 10.1109/75.678571.
6. F. L. Teixeira and W. C. Chew, “PML-FDTD in Cylindrical and Spherical Grids”, *IEEE Microwave and Guided Wave Letters*, vol. 7, no. 9, 1997, pp. 285-287, doi: 10.1109/75.622542.
7. K. Sainath and F. L. Teixeira, “Analysis of Convex PML Media”, Proc. *IEEE Int. Conf. Microwaves, Comm., Antennas, and Electronic Systems (COMCAS)*, Tel Aviv (Israel), 2015, pp. 1-5.
8. Z. Sacks, D. Kingsland, R. Lee, and J.-F. Lee, “A Perfectly Matched Anisotropic Absorber for Use as an Absorbing Boundary Condition”, *IEEE Trans. Ant. Prop.*, vol. 43, no. 12, pp. 1460-1463, Dec. 1995.
9. F. L. Teixeira and W. C. Chew, “Analytical Derivation of a Conformal Perfectly Matched Absorber for Electromagnetic Waves”, *Microwave and Optical Tech. Letters*, vol. 17, no. 4, pp. 231-236, 1998.
10. E. Becache, S. Fauqueux, and P. Joly, “Stability of Perfectly Matched Layers, Group Velocities and Anisotropic Waves,” *J. Comp. Physics*, vol. 188, no. 2, 2003, pp. 399-433.
11. F. L. Teixeira and W. C. Chew, “Differential Forms, Metrics, and the Reflectionless Absorption of Electromagnetic Waves”, *J. Electromagnetic Waves and Applications*, vol. 13, pp. 665-686, 1999.
12. B. Donderici and F. Teixeira, “Conformal Perfectly Matched Layer for the Mixed Finite Element Time-Domain Method”, *IEEE Trans. Ant. Prop.*, vol. 56, no. 4, pp. 1017-1026, Apr. 2008.
13. W. C. Chew, “Some Reflections on Double Negative Materials”, *Progress in Electromagnetics Research*, vol. 51, pp. 1-26, 2005.
14. K. Sainath and F. L. Teixeira, “Perfectly Reflectionless Omnidirectional Absorbers and Electromagnetic Horizons”, *J. Optical Society America B*, vol. 32, no. 8, 2015, pp. 1645-1650.
15. J.-P. Berenger, “A Perfectly Matched Layer for the Absorption of Electromagnetic Waves”, *J. Comp. Physics*, vol. 114, 1994, pp. 185-200.

[16] S. A. Cummer, "Perfectly Matched Layer Behavior in Negative Refractive Index Materials", *IEEE Antennas and Wireless Propag. Letters*, vol. 3, no. 1, 2004, pp. 172-175.

[17] N. B. Kundtz, D. R. Smith, and J. B. Pendry, "Electromagnetic Design with Transformation Optics", *Proc. IEEE*, vol. 99, no. 10, 2011, pp. 1622-1633.