



## Point Source Carbon Dioxide Emission Monitoring Using Radiative Transfer Simulations and AVIRIS-NG Data

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### Abstract

The significant amount of Carbon Dioxide (CO<sub>2</sub>) emission causes radiative forcing in the atmosphere that increases the earth's temperature. To reduce the emission from anthropogenic activity, it is important to monitor the major sources and sinks of atmospheric CO<sub>2</sub> such as coal-fired Thermal Power Plant (TPP). Airborne hyperspectral sensors with contiguous spectral bands have capabilities to measure point source CO<sub>2</sub> emission. In this study, we have estimated CO<sub>2</sub> concentration using Radiative Transfer (RT) simulations and Airborne Visible/InfraRed Imaging Spectrometer - Next Generation (AVIRIS-NG) data acquired on Maithon TPP at Jharkhand in India. Due to its higher sensitivity to the boundary layer atmosphere, the Short-Wave InfraRed (SWIR) region is most suitable for the detection of CO<sub>2</sub> emission sources. We used a 2.0 μm absorption band for CO<sub>2</sub> plum detection. This paper describes the Continuum Interpolated Band Ratio (CIBR) method for measurement of CO<sub>2</sub> concentration in the atmosphere which is emitted by TPP.

**Index Terms** – CO<sub>2</sub>, Thermal Power Plant, SWIR, AVIRIS-NG, Radiative Transfer.

### 1. Introduction

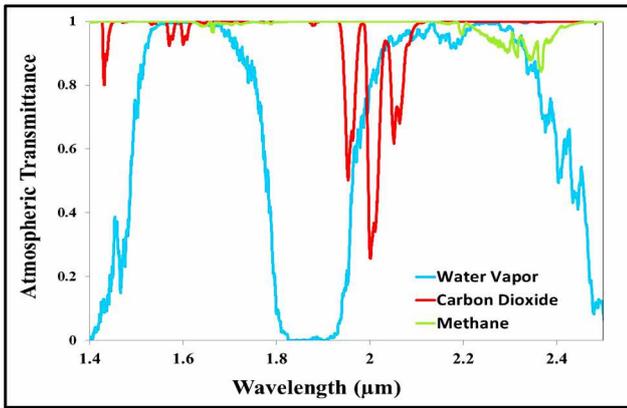
CO<sub>2</sub> absorbs solar radiation that increases the atmospheric temperature, further it causes climate warming by radiative forcing. Coal-fired TPP is a significant source of anthropogenic CO<sub>2</sub> emission that can increase CO<sub>2</sub> concentration in the atmosphere through coal-burning activities [1]. The thermal power sector in India, emits 1.1 gigatonnes of CO<sub>2</sub> every year. It contributes to 2.5% of global Greenhouse Gas (GHG) emissions and one-third of India's GHG emissions. Nearly half of India's fuel-related CO<sub>2</sub> emissions come up from TPP. [2]. Reducing the emission from TPP needs better estimation by accurate measurement techniques, which should be affordable and easily available on a global scale. Mostly in developed countries, TPPs are equipped with continuous emission monitoring systems (CEMS) that directly measure CO<sub>2</sub> emission within the stack. However, CEMS equipment is expensive and needs frequent calibration [3]. Hyperspectral sensors with higher spectral and spatial resolution have the potential to estimate point source CO<sub>2</sub>

emission. The RT model is used to theoretical measurement of CO<sub>2</sub> concentration within pixels of scenes that are further used to generate the band ratio method. Many hyperspectral sensors have been developed for CO<sub>2</sub> detection such as SCanning Imaging Absorption spectroMeter for Atmospheric Cartography (SCIAMACHY) provides the global measurement of various trace gases in the atmosphere like CO<sub>2</sub> and Methane (CH<sub>4</sub>) [4]. Greenhouse Gas Observation SATellite (GOSAT) carrying Thermal And Near infrared Sensor for carbon Observation Fourier-Transform Spectrometer (TANSO-FTS) is capable to measure CO<sub>2</sub> and CH<sub>4</sub>. [5]. NASA's Orbiting Carbon Observatory-2 (OCO-2) is a space-borne instrument with a higher spectral and temporal resolution that measures dry air column CO<sub>2</sub> abundance in weak (1.61 μm) and strong (2.09 μm) absorption bands [6]. OCO-3 is an improved version of OCO-2 with similar specifications and provides information from 2019. The advantage of Snapshot Area Map (SAM) mode in OCO-3 can measure point source CO<sub>2</sub> emission at facility scale such as TPP [7]. Airborne imaging spectrometers like AVIRIS and next generation instrument AVIRIS-NG can map large regions while providing the spatial resolution required to identify point source emission of CO<sub>2</sub> and CH<sub>4</sub> within the scenes [8]. PRISMA (PRecursore IperSpettrale della Missione Applicativa) is also proved to be suitable for trace gas concentration retrieval from space [9]. The objective of this study is to test the CIBR method on hyperspectral sensors to estimate TPP-emitted CO<sub>2</sub>.

### 2. Theory

The availability of CO<sub>2</sub> absorption channels in the satellite sensors provide facility for the measurement of GHG column contents. The strength of absorption in specific spectral bands directly depends on the amount of gas in a column. It means a higher concentration of gas can lead to higher absorption in the wavebands. Measuring absorption in the band can give CO<sub>2</sub> concentration values in the atmosphere. As shown in Figure-1, CO<sub>2</sub> absorption occurs in 1.6 μm (weak) and 2.0 μm (strong) bands in the SWIR region. In these bands, the 2.0 μm band is extensively used for CO<sub>2</sub> retrieval. P. Prasad et. al., (2013) [10] theoretically explains the suitability of the 1.6 μm band for CO<sub>2</sub> concentration retrieval. However, it is not

used here due to lower sensitivity to CO<sub>2</sub>. 2.0 μm band is a highly sensitive band in the SWIR region that is commonly used in sensors for point source emission detection. Although it is partially affected by Water Vapor (WV), which may lead to errors in accurate estimation. Many methods have been developed using this band such as Joint Reflectance and Gas Estimator (JRGE) proven effective for CO<sub>2</sub> retrieval in an unknown surface reflectance [11]; Cluster Tunned Matched Filter (CTMF) measures fainter emissions of CO<sub>2</sub> and CH<sub>4</sub> [12]; The CIBR method has been tested for thermal power plant emitted CO<sub>2</sub> detection using 2.0 μm band by M.R. Pandya et. al., (2021) [13]. We used the CIBR method in the 2.0 μm absorption band due to its simplicity and higher efficiency.



**Figure 1:** Atmospheric Transmittance curve for the three major GHG (CO<sub>2</sub>, WV, and CH<sub>4</sub>) in SWIR region (1.4-2.5 μm) generated by MODTRAN simulations.

### 3. Methodology

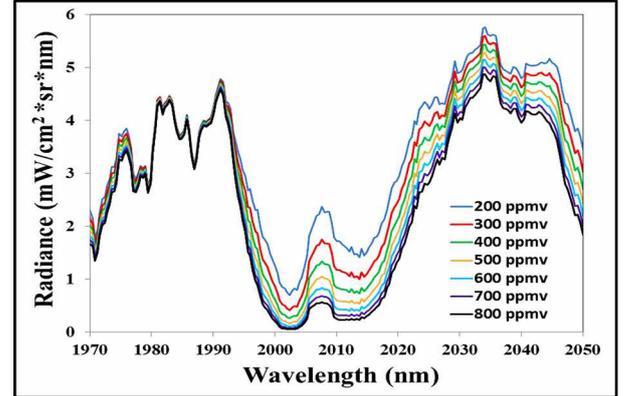
#### 3.1. Data and site

The AVIRIS-NG is a push-broom hyperspectral sensor with a broad (380-2500 nm) spectral range and 5 nm spectral resolution. AVIRIS-NG data available from 2018 by the ISRO-NASA joint venture are used here [14]. Level-1 radiance data with nearly 425 contiguous spectral channels, sun-sensor geometry, geo-location, and altitude of flight have been carried out for this study. Coal-based TPP is an ideal location for carrying out surveys using AVIRIS-NG. We have selected Maithon thermal power plant as the study site which is located on the east side of Jharkhand state in the Dhanbad district. For the validation of our work, we utilize wind data from NASA's POWER API database which is open access with long-term coverage [15]. Wind speed at 10 m above ground and direction in degree clockwise from due north at the time and date for AVIRIS-NG flight have been collected from the SSE- Renewable Energy Community of The POWER Data Access Viewer and utilized for the power plant site.

#### 3.2. RT Simulations

MODTRAN (MODerate spectral resolution atmospheric TRANsmittance) model is based on the RT equation which has a 1 cm<sup>-1</sup> finer spectral resolution [16]. MODTRAN calculates the Top Of Atmosphere (TOA)

radiance from earth-emitted radiance, reflected downwelling radiance, and atmospheric path radiance. It is also useful for determining the sensitivity of atmospheric gases such as WV, CO<sub>2</sub>, and CH<sub>4</sub>. Here we used the MODTRAN RT model to evaluate of sensitivity of CO<sub>2</sub> in the SWIR region. Simulations have been carried out for varying WV concentrations from 0.5 to 4.0 g/cm<sup>2</sup> with a 0.5 gm/cm<sup>2</sup> interval. For each value of WV, CO<sub>2</sub> values have been varying from 200 to 800 ppmv to decouple the effect of WV on CO<sub>2</sub> absorption bands. the change in At-sensor radiance with CO<sub>2</sub> values Generated from the simulation process is shown in figure 2.



**Figure 2:** Radiance as function of wavelength for varying values of CO<sub>2</sub> concentrations in 2 μm spectral band generated using MODTRAN RT model (WV- 2.0 g/cm<sup>2</sup>).

According to the locations of the power plant, we used a tropical model atmosphere, a standard atmospheric profile and rural aerosol with 23 km visibility has been considered. Other inputs such as solar zenith and azimuth angle, altitude, date, and coordinates of the plant are taken from AVIRIS-NG flight details. 1400 – 2500 nm range considered for AVIRIS-NG SWIR region. The method to decouple the effect of WV in CO<sub>2</sub> absorption bands developed by M.R. Pandya et. al. (2021) [13] has been used here.

#### 3.3. Concentration Retrieval Algorithm

In the CIBR equation, the numerator represents radiance measured by the sensor at the center of the absorption band ( $L_{min}$ ) which is more affected by the absorption of CO<sub>2</sub>, and the denominator represents the sum of wavelength weighted radiances of the two nearest non-absorption bands ( $L_1$  and  $L_2$ ) which are free from any gas's absorption.

$$CIBR = \frac{L_{min}(\lambda_m)}{f_1 * L_1(\lambda_1) + f_2 * L_2(\lambda_2)} \quad (1).$$

Here,  $f_1$  and  $f_2$  are weighting coefficients which can be calculated by following equations,

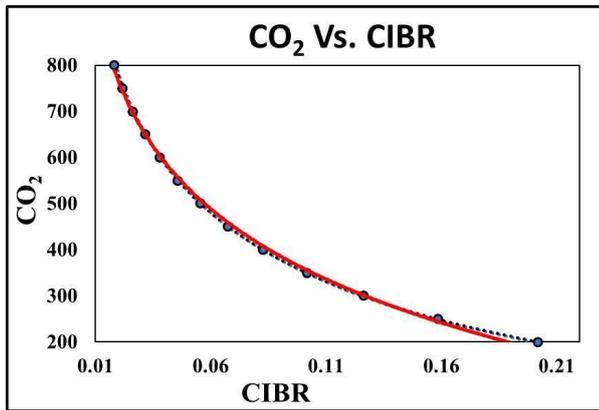
$$f_1 = \frac{\lambda_2 - \lambda_m}{\lambda_2 - \lambda_1} \quad \text{and} \quad f_2 = \frac{\lambda_m - \lambda_1}{\lambda_2 - \lambda_1} \quad (2).$$

Eq. (1) is applied on a 2.0 μm absorption channel. In this absorption band,  $\lambda_m = 2009$  nm,  $\lambda_1 = 1984$  nm and  $\lambda_2 = 2034$  nm selected and the sum of  $f_1$  and  $f_2$  is always equals

to 1 ( $f_1+f_2 = 1$ ). The conversion of the CIBR index to CO<sub>2</sub> content in ppmv is done by fitting the curve and generating appropriate curve fitting coefficients. For that, the inversion equation is used as follows,

$$XCO_2 = a * (CIBR^{-b}) + c \quad (3).$$

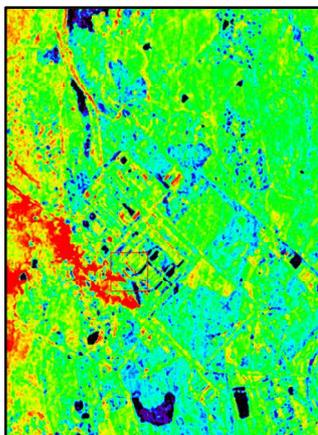
Where, XCO<sub>2</sub> is the unknown carbon dioxide columnar abundance (ppmv) and a, b and c are the parameters related to the model variables and depends on WV concentration. CO<sub>2</sub> vs. CIBR curve is shown in figure 3. Using these equations, we can calculate CO<sub>2</sub> concentration in all pixels from any observations with known spectral information.



**Figure 3:** CO<sub>2</sub> vs. CIBR curve generated from MODTRAN simulations to calculate curve fitting parameters.

#### 4. Results

The conversion from CIBR values to XCO<sub>2</sub> estimation is a crucial point. Due to the partial WV effect on this band, CIBR values are changed according to WV concentration in a scene. To remove the WV effect, we generated curve fitting coefficients for different values of WV. After retrieval of CO<sub>2</sub> values and fitting the curve in simulation data for each value of WV, we applied it to the study sites as shown in Figure 4.



**Figure 4:** CIBR method tested on Maithon power plant for plume detection.

Here the CIBR shows promising results as the plume is clearly visible and separated from ground reflectance. A graphical interpolation has been applied to AVIRIS-NG data for the enhancement to the plume pixels. Gaussian enhancement and median filters have been applied to overcome the background errors and remove false positive points. Red pixels represent CO<sub>2</sub> values with a maximum of 430 ppmv for the Maithon plant as shown in Figure 5.



**Figure 5:** XCO<sub>2</sub> maps from plume detected in real AVIRIS-NG image acquired at Maithon TPP.

Results show that CIBR is a suitable method for CO<sub>2</sub> detection using the SWIR spectral band. The direction of the wind at the acquisition matches the plume direction which indicates the validity of the results. The Maithon site has an intense wind speed of 2.39 m/s on the east-south side showing more expansion of plume. Comparisons of results with AVIRIS-NG benchmark datasets for CO<sub>2</sub> retrieval at the Maithon site show similar results [17].

#### 5. Conclusions

In this study, we investigated the potential of the AVIRIS-NG hyperspectral mission to map CO<sub>2</sub> plume emitted from coal-based TPP. The SWIR spectral range shows adequate results for CO<sub>2</sub> retrievals with high sensitivity and low errors of other parameters which makes it suitable for CO<sub>2</sub> emission sources detection. Our study shows the capabilities of the CIBR method to monitor point source CO<sub>2</sub> emission. This method gives accurate CO<sub>2</sub> plume detection originating from coal burning activities. Remote sensing methods for the estimation of CO<sub>2</sub> emissions are cheaper than standard emission monitoring methods. It does not need continuous calibration and hence eliminates measurement errors. Also, this type of method can be applicable to large areas and any part of the country, which is never possible in

standard emission measurement instruments which are mounted within the facility. Continuous monitoring gives the right information about the health of the power plant and used fuel. It is useful to make decisions to overcome CO<sub>2</sub> emissions from the point sources and make policies to reduce atmospheric pollution to improve air quality. This method gives promising results to estimate power plant emissions. In the future, our aim is to apply the retrieval method to all Indian power plants using PRISMA satellite data to estimate CO<sub>2</sub> emissions.

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